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ÚFAL MFF UK, Malostranské náměstí 25, 118 00, Prague 1, Czech Republic
E-mail: pbml@ufal.mff.cuni.cz

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EDITORIAL

The Editorial Board of the Prague Bulletin of Mathematical Linguistics deeply regrets to announce that we have lost a most respectful member of the Board, Professor Petr Sgall.

Emeritus Professor Petr Sgal
(May 27, 1926, České Budějovice – May 28, 2019, Prague)

Petr Sgall (born May 27th, 1926 in České Budějovice in southern Bohemia, but spending most of his childhood in the small town Ústí nad Orlicí in eastern Bohemia and living since his university studies in Prague) has been one of the most prominent Czech linguists belonging to the so-called "second generation" of the world-famous structural and functional Prague School of Linguistics. His first research interests focused on typology of languages, in which he was a pupil of Vladimír Skalička. His PhD thesis was on the development of inflection in Indo-European languages (published in Czech in 1958). He spent a year of postgraduate studies in Cracow, studying with J. Kuryłowicz. He habilitated as docent (associate professor) of general and Indoeuropean linguistics at Charles University in 1958 on the basis of his Cracow study of infinitive in Old Indian (Infinitive im Ṛgveda, published the same year).

Since his beginnings, he was always deeply interested in the exceptional situation of Czech language where alongside with the standard form of language there exists a form of Czech that is usually called ‚Common Czech' (as it is not restricted to some geographical area as dialects are) and that is used by most Czech speakers in everyday communication. In this view he was influenced by the work of Bohuslav Havránek on functional stratification of Czech.

At the beginning of the 1960s, Sgall was one of the first European scholars who got acquainted with the emerging new linguistic paradigm, Chomskyan generative grammar. On the one hand, he immediately understood the importance of an explicit description of language, but at the same time, he was aware that the generative approach as presented in the early days of transformational grammar, lacks a due regard to the functions of language (at this point we want to recall his perspicacious analysis of Prague School functionalism in his paper published in 1964 in the renewed series Prague Linguistic Circle Papers (pre-war TLCP), the Travaux linguistiques de Prague Vol. I in 1964. Based on the Praguian tenets, Sgall formulated and developed an original framework of generative description of language, the so-called Functional Generative Description (FGD). His papers in the early sixties and his book presenting FGD (Generativní popis jazyka a česká deklinace [Generative description of Czech and Czech declension], Prague: Academia, 1967) were the foundation stones of an original school of theoretical and computational linguistics that has been alive and flourishing in Prague since then. Sgall's innovative approach builds on three main pillars: (i) dependency syntax, (ii) information structure as an integral part of the underlying linguistic structure, and (iii) due regard to the distinction between linguistic meaning and cognitive content.

Petr Sgall has proved also outstanding organizational skills. In 1959, he founded a small subdepartment of mathematical linguistics (called then ‚algebraic', to get distinguished from the traditional quantitative linguistics) and theory of machine translation at the Faculty of Arts of Charles University, followed by a foundation of a small group of computational linguistics also at the Faculty of Mathematics and Physics (in 1960) of the same University. In 1968, the two groups were integrated under his leadership into the Laboratory of Algebraic Linguistics, attached to the Faculty of Arts. This Laboratory, due to the political changes in the country caused by Russia-led invasion, had, unfortunately, a very short life-span. In 1972, Sgall faced a forced dismission from the University for political reasons, and the whole group was eventually doomed to be dissolved. Fortunately, thanks to a group of brave colleagues and friends at the Faculty of Mathematics and Physics, he and his collaborators were transferred to this Faculty, less closely watched (by guardians of ideology) than was the domain of the Humanities. Even there, however, the conditions were not at all easy for him - for several years, the Communist Party decision for the group to disappear was in power, the number of Sgall's collaborators was harshly reduced and many obstacles were laid in the way of research in computational linguistics as such. Sgall himself was deprived of possibilities to teach, supervise students, travel to the

West, attend conferences there, and only slowly and gradually he could resume some of his activities in the 1980s. Nevertheless, not only the core of the research group continued working in contact with Western centres and their leading personalities (as evidenced above all by the contributions to his Festschrift edited by Jacob Mey and published by John Benjamins in 1986), but it was also possible to help three other immediately endangered colleagues to survive at the University.

The years after the political changes in our country in 1989 have brought him a due satisfaction after the previous years of suppression: a possibility of a 5-month stay as a research fellow at the Netherlands Institute of Advanced Studies in Wassenaar (a standing invitation he has had for many years but which he was not allowed to accept for political reasons), the membership in the prestigious Academia Europaea, the International Research Prize of Alexander von Humboldt in 1992, a visiting professorship at the University in Vienna in 1993, the Prize of the Czech Minister of Education in the same year, a honorary doctorate at the Institut National des Langues et Civilisations Orientales in Paris in 1995 and at the Hamburg University in 1998 and an honorary membership in the Linguistic Society of America in 2002, not to speak about numbers of invitations for lectures and conferences in the whole world, from the U.S.A. to Malaysia and Japan. As a Professor Emeritus of Charles University since 1995, he was still actively involved for many years in teaching and supervising PhD students, in participating at Czech and international research projects and in chairing the Scientific Board of the Vilém Mathesius Center he helped to found in 1992.

Petr Sgall was also among those who helped to revive the Prague Linguistic Circle already in 1988 and has a substantial share in reviving also the book series Travaux de Cercle linguistique de Prague (under a parallel title Prague Linguistic Circle Papers), the first volume of which appeared in 1995 (published in Amsterdam by John Benjamins Publ. Company).

As a founder of computational linguistics in Prague (and in the whole of former Czechoslovakia), Sgall has always been very sensitive to balancing the formal and empirical aspects of that interdisciplinary domain. At the same time, he has been always open to new directions; his subtle sense for the development of linguistic research is reflected by his participation in conceiving and constructing the Prague Dependency Treebank (PDT), a syntactically annotated subset of the Czech National Corpus. The firm theoretical basis of this annotation (using Sgall's functional generative description), its comprehensiveness, and consistency have made PDT one of the most frequently referred to and highly appreciated present-day corpus projects in the world.

With his research activities based on a true Praguian functional approach, he thus more than made up for his negative attitudes published in the beginning of the fifties, a revolutionary and rash approach to which he was inspired by his wartime experience (his father died in Auschwitz, as did eleven of his closest relatives, and Petr Sgall himself spent some months in a labour camp) and ill-advised by some of his tutors. Let us remind in this connection e.g. his review of three American volumes devoted to the Prague School published in 1978 in the Prague Bulletin of Mathematical Linguis-

tics (a University periodical founded by Sgall in 1964), at the time when the political situation in the country and his own personal position was very difficult.

Petr Sgall's linguistic interests were extremely broad and his contribution to Czech and international linguistics is overwhelming. His publications testify his ability to penetrate into the substance of arguments and to give a convincing counterargument, the consistence of opinions but, at the same time, open-mindedness and openness to discussion and willingness to accept the opponent's viewpoint if he finds good reasons for it. There are not many researchers of his position who would be able to react so creatively to stimuli from the outside, to learn a lesson from them and to push his students to do the same ('read if you want to be read' is one of his favourite slogans).

Bibliographical Note

Petr Sgall's bibliography before 1986 was compiled as a gift from his colleagues at the occasion of his 60th birthday and was made available as an internal report of the Faculty of Mathematics and Physics, Charles University; the bibliographical data from later periods were published at the occasions of his birthdays in the *Prague Bulletin of Mathematical Linguistics* (PBML) 55, 1991, 95–98; PBML 65–66, 1996, 113–122 (bibliography 1986-1996, with a short introduction "Petr Sgall Septuagenerian") and PBML 75, 2001, 87-91 (bibliography 1996–2000). A complete bibliography of Petr Sgall is appended to the volume of Sgall's selected papers (Petr Sgall: Language in its multifarious aspects) published by Karolinum, Prague, 2006.

The text of this editorial is a slightly modified and abbreviated version of the Introduction (written by Eva Hajičová and Jarmila Panevová) to the selected papers of Petr Sgall: *Language in Its Multifarious Aspects*, Karolinum, Prague, 2006. Reprinted here with the kind permission of the Karolinum Publishing House.

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EVALD – a Pioneer Application for Automated Essay Scoring in Czech

Kateřina Rysová, Magdaléna Rysová, Michal Novák, Jiří Mírovský, Eva Hajičová

Charles University
Faculty of Mathematics and Physics
Institute of Formal and Applied Linguistics
Prague, Czech Republic
[rysova|magdalena.rysova|mnovak|mirovsky|hajicova]@ufal.mff.cuni.cz

Abstract

In the paper, we present EVALD applications (Evaluator of Discourse) for automated essay scoring. EVALD is the first tool of this type for Czech. It evaluates texts written by both native and non-native speakers of Czech. We describe first the history and the present in the automatic essay scoring, which is illustrated by examples of systems for other languages, mainly for English. Then we focus on the methodology of creating the EVALD applications and describe datasets used for testing as well as supervised training that EVALD builds on. Furthermore, we analyze in detail a sample of newly acquired language data – texts written by non-native speakers reaching the threshold level of the Czech language acquisition required e.g. for the permanent residence in the Czech Republic – and we focus on linguistic differences between the available text levels. We present the feature set used by EVALD and – based on the analysis – we extend it with new spelling features. Finally, we evaluate the overall performance of various variants of EVALD and provide the analysis of collected results.

1. Introduction

The present contribution summarizes results of a long-term research focused on automated essay scoring (AES) with emphasis on automatic evaluation of surface coherence in texts written by native as well as non-native speakers of Czech. The research resulted in three software applications (one for native, two for non-native speakers).

 Corresponding author: rysova@ufal.mff.cuni.cz
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The applications are called Evaluator of Discourse (EVALD) and are available for the general public, especially for students, teachers, examiners or anyone involved in the language teaching process.

To create a well-structured and comprehensible piece of writing is a difficult task. A text has to form a functional complex in both content and formal aspects and, primarily, it has to faithfully fulfill the author's communicative intention. To formulate ideas into a complex piece of discourse (text) is much more difficult than to create separate sentences. The stylization skills and the ability to express thoughts and attitudes in a coherent text are thus taught in language lessons as one of the most important language competencies. At the same time, these competencies are regularly tested in official as well as unofficial language exams of various kinds.

A part of the school-leaving examination at secondary schools in the Czech Republic is writing an essay in the mother tongue. Successful passing of this exam affects student's further life (e.g. the possibility of their further study at a university). Writing an essay in Czech is a part of various official exams also for foreigners, e.g. for those who apply for permanent residence in the Czech Republic. The required language level according to the Common European Framework of Reference for Languages (CEFR) is A1. Furthermore, the compulsory CEFR level for foreigners to be granted state citizenship in the Czech Republic is B1, most of the Czech universities require B2 and companies usually B2 or C1. The motivation of foreigners to learn Czech (and to practice their writing skills in Czech) is thus clear.

Given the importance and severity of the mentioned exams (which affect the real life of the students or applicants), the assessment of the essays needs to be as objective as possible. A software application like EVALD can help in this task.[1] Similar applications already exist and successfully work abroad (for more details, see Section 2). For Czech, EVALD is so far the first tool in this category.

Section 3 introduces versions of EVALD that have been developed as well as the individual steps that led to the creation of the EVALD application. These steps almost overlap with individual levels of linguistic description that have been gradually incorporated to the application. In the paper, we thus describe the creation process of EVALD also from the linguistic point of view – concerning the individual language levels/phenomena, we present their contribution to AES.

The description of EVALD is divided into the following parts. In the methodological part (Section 3.1), we introduce the individual steps necessary for developing the software application. Both linguistic and computational parts (the process of implementation) are given in detail.

The datasets used for our task are presented in Section 3.2. One is aimed at automatic evaluation of texts written by native speakers of Czech (evaluated on the scale 1–5, i.e. excellent–fail), another one for evaluation of texts written by non-native speak-

[1] Although it is necessary to emphasize that the application is rather suitable as an assistant tool – the evaluated text should be also seen by a teacher-evaluator.

ers of Czech in the CEFR classes A1–C2, and finally, the third one for determining texts reaching at least the boundary CEFR class A1. It is necessary to mention that the first two datasets were annotated in terms of the level of surface text coherence (cohesion). The newly added third dataset, the NIE dataset, was used for a slightly different task. Instead of grades with respect to coherence (cohesion), it contains overall grades embracing all aspects of language. The dataset was created especially for the purpose of permanent residence examination requiring evaluation of the overall grade.

The result part comprises three sections. We put under scrutiny a sample of selected texts from the NIE dataset, as the clear delimitation of the A1 lower boundary appeared to be very helpful in practice, especially for the official exams compulsory for the applicants to be granted permanent residence in the Czech Republic (Section 3.3).

In Section 3.4, we present individual linguistic features across the language levels according to which it is possible to differentiate the examined texts automatically using methods of supervised machine learning. As a result of the analysis of the NIE dataset, we also design new features focused on spelling here.

Finally, we present performance of the resulting applications in Section 3.5. We evaluate not only the newly introduced spelling features, but also the EVALD system as a whole. Conducting a feature ablation analysis, we explore the key properties of the tool.

In the conclusion part (Section 4), we summarize the results achieved during the whole EVALD project and we describe the possibilities of use of EVALD applications in practice.

2. Automated Essay Scoring in Practice

The topic of automated essay scoring goes back to the 1960s. The English teacher and psychologist Ellis Batten Page, who designed the first system for the automatic assessment of student essays, became a pioneer in this field (Page, 1966, 1968). In 1973, he managed to conduct successful experiments (Ajay et al., 1973) and implemented his system under the name Project Essay Grade. However, it was difficult and costly to use the system in practice with the original technical capabilities.

Therefore, the development of the field and its connection with practice was carried out later in the 1990s with the expansion of the Internet and tools for natural language processing. It gave rise to new as well as some updated applications such as E-Rater (Burstein et al., 1998), Intelligent Essay Assessor (Foltz et al., 1999), Text Categorization Technique (Larkey, 1998), or the continuing work on Project Essay Grade (Page and Petersen, 1995).

Currently, automatic text evaluation systems are used (often together with teacher assistance, albeit sometimes on themselves) for the actual classification of student writing, mostly for English as a foreign language (L2). Automatic text evaluation

is used, for example, in the Test of English as a Foreign Language (TOEFL), Graduate Record Examination (GRE), Graduate Management Admissions Test (GMAT), SAT, American College Testing (ACT), Test of English for International Communication (TOEIC), Analytic Writing Assessment (AWA), No Child Left Behind (NCLB), or Pearson Test of English (PTE), cf. Zupanc and Bosnić (2015).

2.1. Tools for English

For English, the choice of automatic text evaluation systems is quite varied. They differ from each other by their sophistication, the number of statistical analyses they are able to offer to the user, the number of texts they have acquired for training, the chosen computational method, or whether they are available free of charge (a large number of assessing tools are currently paid).

Project Essay Grade. For example, Project Essay Grade (PEG), the first automated text evaluation project designed in the first version in the 1960s, is now a completely commercial product, with not even a demo available freely (it commercialized in 2003). PEG analyzes the input text and calculates more than 300 features that reflect the characteristics of the writing, such as fluency, diction, grammar, and construction. According to its website,[2] it is currently used in 1000 schools and 3000 public libraries.

Text Inspector. One of the well-known models for English is the Text Inspector[3] that is designed to evaluate non-native speakers' texts. The basic functionality is offered free of charge, a subscription plan is available for those who wish to analyze longer texts or get more detailed results. The Text Inspector provides a statistical analysis of the evaluated text and finally displays also its language level according to CEFR. For example, the tool calculates number of sentences, words, syllables, their average length or relative frequency in a text, distributions for part of speech and other morphological categories, variety of vocabulary, and many other linguistic characteristics. In addition, it compares frequency of words in CEFR categories with large corpora such as the British National Corpus.[4] The system also estimates how difficult the text is for the reader's understanding, using three metrics: Flesch Reading Ease, Flesch-Kincaid Grade and Gunning Fog Index.

Readable.io. Another widely used tool for automatic evaluation of texts in English is Readable.io.[5] Again, basic functionality is available free of charge, while advanced

[2] http://www.measurementinc.com/products-services/automated-essay-scoring

[3] https://textinspector.com/

[4] http://www.natcorp.ox.ac.uk/

[5] https://app.readable.io/

features require a subscription. The application ranks the evaluated text in one of the CEFR as well as IELTS categories.[6] Similarly to Text Inspector, Readable.io quantifies the readability of the text, i.e. how difficult or easy it is to read. For this purpose, it uses eight different metrics. Some are based on the length of the words and sentences, others work with lists of "difficult" or "basic" words of the language.

Apart from detailed statistics, the application gives some specific verbal recommendations, such as "*This sentence is very long. Consider rewriting it to be shorter or splitting it into smaller sentences.*" or "*This is a hard word to read. Consider using an easier word if possible.*" The application also attempts to estimate the text style (formal – informal) and conducts sentiment analysis to display its emotional color and polarity (negative – positive).

Readable.io is also linked to other automatic language processing tools. With their help, it lists keywords from the text (separate words and especially word pairs, i.e. assumed phrases), lists the currently popular words (buzzwords), grammatical words, words pragmatically colored, words that are among the first 850 words designed to teach English to foreigners, and words that are among the thousand of most frequent words from children's books. It also estimates whether the author of the text is a man or a woman.

2.2. Tools for other languages

Some AES systems extended their scope to languages other than English. For example, IntelliMetric[7] claims it can handle 20 languages. It gives the opportunity to experience Chinese, Turkish, or Malaysian text ratings directly on the website, along with American, British and Australian English.

This tool is specific in that it evaluates essays written according to the predefined assignment and offers several possibilities of text evaluation to the user. In one task, for example, the application shows the user the beginning of a text and requires to complete it. The system then evaluates this completed part. If a user inserts a text that is not actually completing the predefined text, the ranking system refuses to evaluate it because the inserted text does not match the specified topic.

The tool also offers other possibilities of text evaluation. In another task, the user has to write a story on a given topic, namely a story that is supposed to be published in a magazine read by students throughout Australia. The specific task is e.g.: write a story about captivity or imprisonment – the narrator of the story, his friend, or his animal should get into the trap. The assignment also outlines where the story takes place, what events lead to captivity and who is involved in them, what feelings the characters have and how the issues raised are resolved.

[6] International English Language Testing System (IELTS) is an internationally accepted exam in English as a foreign language.

[7] `http://www.intellimetric.com/`

When the user enters the input text, the system provides grades in several areas. It assesses whether the text matches the intended audience, and provides marks on the text structure, ideas, vocabulary, cohesion, paragraph breakdown, sentence structure, punctuation or spelling in general.

This system seems to be trained also with regard to the topic of the text and its target group of readers. This can make the scorer more accurate, but it also limits the range of text types that the system can evaluate.

In general, other languages than English are less covered by AES tools but research results (sometimes accompanied by tools) have been reported also for French (Lemaire and Dessus, 2001), Japanese (Ishioka and Kameda, 2006), German (Wild et al., 2005), Spanish (Castro-Castro et al., 2008), Arabic (Al-Jouie and Azmi, 2017), Polish (Broda et al., 2014), and other languages (cf., e.g., Zupanc and Bosnić, 2015).

3. EVALD – the pioneer automated essay scoring for Czech

EVALD is a software application that serves primarily for AES of Czech written texts. Currently, EVALD exists in three versions. The first two applications were created for texts written by native speakers of Czech (with grades 1–5) and by non-native speakers (in A1–C2 of CEFR levels). These two versions were focused on evaluation of surface coherence (cohesion). Their previous development and gradual extension of the systems has been reported in Rysová et al. (2016), Novák et al. (2017), Novák et al. (2018) and Novák et al. (2019). The third, new version targets texts of non-native speakers with their language competence around the lowest CEFR level. That is, the system attempts to distinguish between the competence equal to A1 level and the competence that does not reach it (level 0). It was created especially for the purpose of permanent residence examination that requires evaluation of an overall mark. The third EVALD version (exploiting the NIE dataset from the National Institute for Education, see Section 3.2) thus does not provide evaluation of surface text coherence but a general, overall grade.

In general, EVALD processes the input text by internal procedures and then informs the user about the supposed level of surface coherence (or overall grade, respectively) in the submitted text. The online version of the EVALD for Foreigners is shown in Figure 1.

In its assessment, EVALD tries to imitate human evaluators by means of supervised machine learning. That is, using hundreds of texts evaluated by teachers (human assessors) EVALD learned how they evaluate the texts in order to be able to evaluate new texts itself. An example of the text evaluation by EVALD for Foreigners is given in Figure 2.

The software is trained to evaluate prosaic texts whose content and form (e.g. length) correspond to common school essays. The essays are usually created as a comprehensive piece of writing on a given topic, e.g. during the Czech language exams in case of non-native speakers or e.g. during the lessons of Czech at secondary

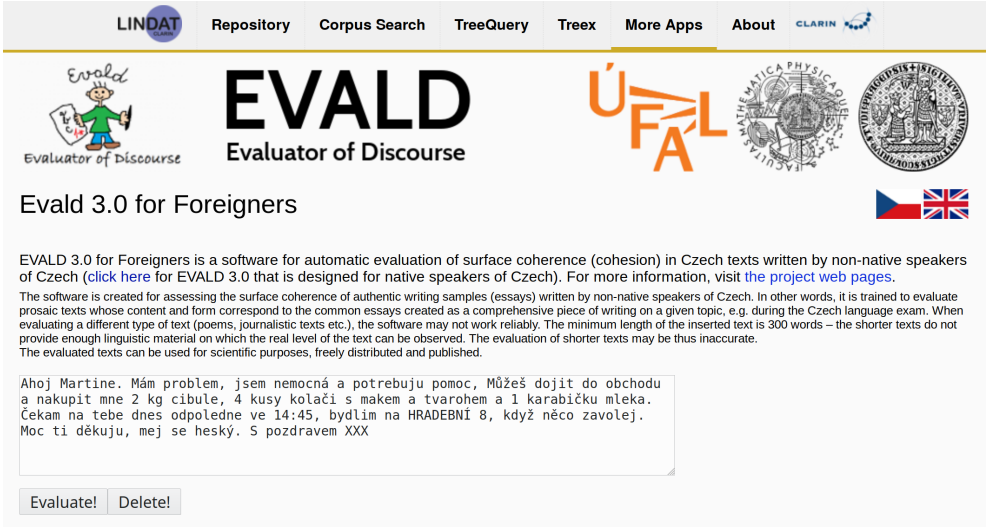


Figure 1. EVALD for Foreigners – design of the online evaluation service.

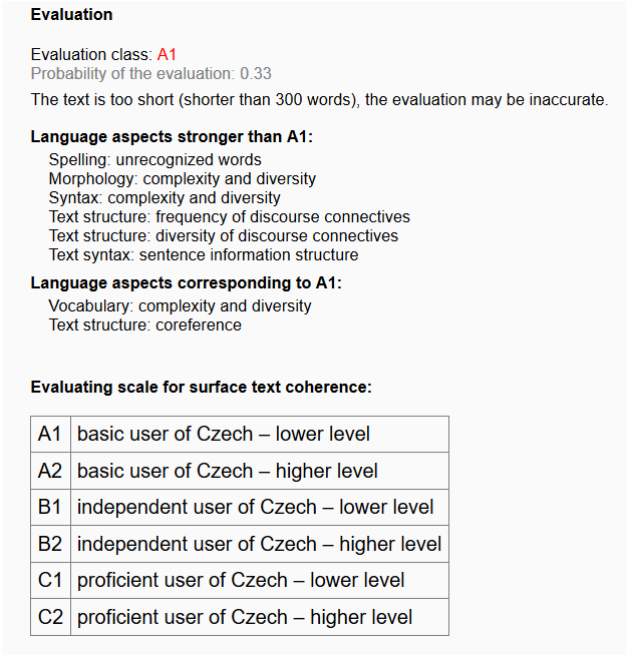
and higher grades of elementary schools in case of native speakers. When evaluating a different type of text (e.g. too short texts or poems), the software may not work reliably because it is not trained for these text genres.

3.1. Methodology – building the EVALD applications

The process of creation of EVALD applications may be divided into several steps. Firstly, suitable data has been collected. We gathered sets of texts written by native as well as non-native speakers of Czech and detailed linguistic research has been carried out on them. Texts written by learners of Czech come from the MERLIN corpus (Boyd et al., 2014), CzeSL-SGT corpus (Šebesta et al., 2014), and from exams organized by the National Institute for Education. Texts by the native speakers were taken from the corpus Skript2012/AKCES 1 (Šebesta et al., 2016). The individual datasets are described in detail in Section 3.2.

Collecting the data comprises also labeling the texts with corresponding grades. Concerning texts written by native speakers, the scale of grades was 1–5 (excellent to fail; five grades are typically used at Czech schools). As for non-native speakers of Czech, we used the scale A1–C2 (and separately 0–A1) in accordance with language levels defined by CEFR.

The texts were then examined from the perspective of the individual language areas: spelling, morphology, lexicology, syntax, semantics and discourse phenomena.



Evaluation

Evaluation class: A1
Probability of the evaluation: 0.33
The text is too short (shorter than 300 words), the evaluation may be inaccurate.

Language aspects stronger than A1:

Spelling: unrecognized words
Morphology: complexity and diversity
Syntax: complexity and diversity
Text structure: frequency of discourse connectives
Text structure: diversity of discourse connectives
Text syntax: sentence information structure

Language aspects corresponding to A1:

Vocabulary: complexity and diversity
Text structure: coreference

Evaluating scale for surface text coherence:

A1	basic user of Czech – lower level
A2	basic user of Czech – higher level
B1	independent user of Czech – lower level
B2	independent user of Czech – higher level
C1	proficient user of Czech – lower level
C2	proficient user of Czech – higher level

Figure 2. Example of text evaluation by EVALD for Foreigners – result for Text 1 (see below).

Based on the linguistic analysis of collected texts, a list of differentiating language features has been established. The features were designed to sort new texts into multiple levels labeled by the grades. Using the designed features, EVALD then learns to do the same job automatically by means of supervised machine learning. The linguistic analysis of selected texts (gained from the National Institute for Education) is presented in Section 3.3 and the differentiating features are described in detail in Section 3.4.

Based on the mentioned activities, a software application for automatic text evaluation was developed.[8] Firstly, automatic pre-processing of input texts was carried out with the help of the Treex system (Popel and Žabokrtský, 2010). The automatic text processing consists of several steps, e.g. tokenization, sentence segmentation, morphological analysis, or both surface and deep syntactic analysis. For the purpose of the EVALD project, the Treex was extended also to include detection of discourse

[8] As said in the introductory part of Section 3, the first two EVALD versions are trained to evaluate surface text coherence; the third, latest version (using the lower-level texts from the National Institute for Education) assigns an overall mark to the input text.

L1 dataset	**1**	**2**	**3**	**4**	**5**		**Total**
# documents	484	149	121	239	125		1,118
# sentences	20,986	4,449	2,913	3,382	939		32,669
# tokens	301,238	65,684	40,054	43,797	11,379		462,152
L2 dataset	**A1**	**A2**	**B1**	**B2**	**C1**	**C2**	**Total**
# documents	174	176	171	157	105	162	945
# sentences	1,802	2,179	2,930	2,302	1,498	10,870	21,581
# tokens	15,555	21,750	27,223	37,717	21,959	143,845	268,049
NIE dataset	**0**	**b-line**	**A1**				**Total**
# documents	203	202	205				610
# sentences	1,166	1,112	943				3,221
# tokens	9,968	8,929	7,449				26,346
SYN dataset							**Total**
# documents							87,653
# sentences							275,349,473
# tokens							4,351,945,964

Table 1. Basic statistics on the labeled and unlabeled datasets, in total and for individual grades ('b-line' in the NIE dataset stands for the borderline cases, i.e. cases where the human annotators disagreed on the grade).

connectives and discourse (semantico-pragmatic) relations in a text, recognition of anaphoric relations, and processing of phenomena concerning sentence information structure (topic-focus articulation), see Novák et al. (2018).

Subsequently, experiments were performed on the automatically pre-processed texts. In the individual project phases, they were focused on different language layers and phenomena. The experiments were also carried out using various machine learning scenarios. A detailed evaluation of resulting applications is given in Section 3.5.

Finally, the EVALD applications themselves have been created. They are available online as a public web service of the LINDAT/CLARIN server,[9] or to download and run locally in a Docker container.[10]

3.2. Datasets

The EVALD system is based on supervised learning and as such relies heavily on labeled data. The three versions of the system have been trained using three different collections of labeled texts – collections of essays manually annotated with the overall and/or surface coherence grade: (1) L1, (2) L2, and (3) NIE dataset. In addition, EVALD takes advantage of a great number of unlabeled texts collected in the SYN dataset. Table 1 gives an overall statistics of these datasets and their short description follows.

L1 dataset. Manually labeled essays written by native speakers of Czech come from the Skript2012/AKCES 1 corpus (Šebesta et al., 2016). It comprises 1,694 students' essays written during classes of Czech language at elementary and high schools. The texts were manually labeled by coherence marks (1–5) by the authors of Novák et al. (2017).

L2 dataset. The L2 dataset was compiled from three language acquisition corpora: (1) the MERLIN corpus (Boyd et al., 2014), (2) CzeSL-SGT/AKCES 5 (Šebesta et al., 2014)[11], and (3) the Skript2012/AKCES 1 corpus (Šebesta et al., 2016). Data from these three corpora form a dataset with essays manually labeled by coherence marks on the scale of A1–C2, i.e. the full scale defined in CEFR. As the L2 sources (1) and (2) do not contain any C2 texts, the C2 class was substituted by texts taken from the L1 Skript2012/AKCES 1 corpus (see Novák et al., 2017 for details).

NIE dataset. For the task of distinguishing texts that do not even reach the lowest level A1, we newly obtained a dataset from the National Institute for Education coming from the examinations for permanent residence of foreigners in the Czech Republic.[12] In total, we deal with around 200 texts in each of the following classes: 0 (worse than A1), borderline, and A1. Documents here are in average much shorter than in the L1 and L2 datasets.

Each text was evaluated independently by three teachers – evaluators. Unlike the previous two datasets, these texts were not evaluated in terms of text coherence but they were assigned an overall mark – due to the general rules concerning the permanent residence examination. The A1 and 0 classes contain texts where all three

[9] `https://lindat.mff.cuni.cz/services/evald`
`https://lindat.mff.cuni.cz/services/evald-foreign`

[10] See `http://ufal.mff.cuni.cz/evald/documentation` for detailed installation instructions.

[11] Also for texts from CzeSL-SGT/AKCES 5, the coherence marks were manually added by the authors of Novák et al. (2017).

[12] We gratefully thank Jitka Cvejnová and Kamila Kolmašová for their kind and excellent cooperation.

evaluators agreed on the same evaluation, the borderline class includes texts where the evaluation was not unanimous.

In Section 3.5, besides experiments with classification into all three classes, we also carry out experiments that work with the A1 and 0 classes only. For these experiments, we prepared the *NIE-2* dataset, which is a limited version of NIE that does not contain borderline examples.

Example 1 demonstrates a text from the A1 class, Example 2 from the borderline class and Example 3 from the 0 class.

(1) *Ahoj Martine. Mám problem, jsem nemocná a potrebuju pomoc, Můžeš dojit do obchodu a nakupit mne 2 kg cibule, 4 kusy kolači s makem a tvarohem a 1 karabičku mleka. Čekam na tebe dnes odpoledne ve 14:45, bydlim na HRADEBNÍ 8, když něco zavolej. Moc ti děkuju, mej se heský. S pozdravem XXX*

"Hi Martin. I have a problem, I am sick and I need help, You can get to the store and buy me 2 kg of onion, 4 pieces of cake with poppy seeds and curd and 1 milk bucket. I'm waiting for you this afternoon at 14:45, staying at HRADEBNÍ street 8, if something call me. Thank you very much. Regards XXX"[13]

(2) *Dobrý den p. Holý. Oznamuji Vám, že na schůzku nemužu přijit proto ze jsem povolan na Policie ČR o 13.00 kvuli svedětstvi. Mužeme-li objednat schůzku na čtvrtek o 11.30 u Vás v ofisu? S pozdravem XXX*

"Hello Mr. Holý. I would like to inform you that I cannot come to the meeting because I am called to the Police of the Czech Republic at 13.00 because of the testimony. Can we order an appointment on Thursday at 11.30 am at your office? Regards XXX"

(3) *Ahoj Alenu. Jste nemocná. POTŘEBujes POMOC. Kup M PROSim: 4 kusy housek. MůžES PŘiJiT v 20.45 hod. DEKUJi PĚKNĚ. XXX*

"Hi, Alena. You're sick. You need help. Buy me please: 4 pieces of buns. You can come at 20.45. Thank you. XXX"

SYN dataset. As described in Novák et al. (2019), the EVALD system incorporates features that use large unlabeled data of Czech texts in two forms: (1) as a source for a language model, and (2) as a source for density estimations of other features. We use the SYN collection (version 4) of the Czech National Corpus (Hnátková et al., 2014). The language model was trained on the entire dataset, i.e. 275 million sentences, while the density estimation was counted on approx. 7% of the full SYN data.

[13] English translations under the examples are illustrative – they cannot cover the mistakes e.g. in spelling or morphology in the Czech original.

3.3. Text analysis of samples from the NIE dataset

As already mentioned, the collected texts (both by native and non-native speakers) were first subjected to a detailed linguistic analysis across the individual language layers. We dealt with phenomena from the following linguistic areas: spelling, morphology, lexicology, syntax, semantics and discourse. We conducted a complex analysis of the texts and we examined how deficiencies in certain language layer may disrupt the overall coherence of the resulting text.

Texts written by native speakers of Czech were evaluated on the scale 1–5, texts by non-native speakers were evaluated on the scale A1–C2 according to CEFR. However, it turned out to be practical to focus further on the bottom class A1 (reaching A1 is e.g. a condition for permanent residence in the Czech Republic). In practice, it is thus often necessary to state the boundary between texts already having A1 and texts that do not yet reach this level (i.e. class 0).

In this section, we focus on the analysis of these lower-level texts, specifically on the differences between texts reaching the A1 level, texts below A1 (we mark them as class 0) and borderline texts (i.e. texts between A1 and 0). It turned out that linguistically interesting is especially the difference between the A1 and the borderline level.

From the linguistic point of view, the texts are rather simple. It is, for example, very difficult to observe here linguistic phenomena from the higher language levels (such as anaphoric and coreference chains or pragmatic relations), which are practically absent in these texts. On the other hand, the texts differ from each other in lower language phenomena (concerning e.g. spelling, vowel length or writing voiced vs. voiceless consonants) that are already acquired by more advanced learners and that are thus not worth observing at higher CEFR levels.

The texts from the 0 class appeared to be rather distinct from the other two sets. These texts (as demonstrated in Example 3) contain many mistakes already in spelling, morphology or lexicology. The authors of these texts have problems already with the Czech writing system (cf. mixing of uppercase and lowercase letters within a single word, e.g. *POTŘEBujes, PROSim, MůžES, PŘiJiT, DEKUJi*). They make basic mistakes in spelling (connected with phonological issues, cf. missing vowels such as *m* instead of *mi*; mistakes in diacritics such as *potřebujes* instead of *potřebuješ*, *můžes* instead of *můžeš*, *dekuji* instead of *děkuji*, or in vowel length such as *prosim* instead of *prosím*, *prijit* instead of *přijít*) and morphology (cf. use of wrong noun cases such as *Alenu* instead of *Aleno*; mistakes in verbal grammatical categories such as mixing of person, cf. *Jste nemocná.* instead of *Jsem nemocná.*, *potřebujes* instead of *potřebuji* etc.).

The content of sentences in these texts is often hard to interpret, as they contain unrecognizable words (cf. examples from other text samples like *PANE RUŽiČKA PŠiLECE(?)*; *koupit 15 dkg sý(?), 1 kus maslo krava 3 kus rohlič(?)*, or *JSE OMLOUVAM NEMUŽU PŠIEC(?)*). Besides many formal and grammatical mistakes (and also due to them), the overall comprehensibility of these texts is disrupted – even the native speakers of Czech have problems to understand the main message of the text. The

authors' communicative competence in the Czech language is thus rather low and it can be assumed that the authors could have problems with basic understanding of common (language) situations.

The other two sets (A1 vs. borderline class) appeared to be linguistically more interesting. Their overall comprehensibility was (despite the errors present) rather good and both of them contained similar error types. The problematic issues concerned especially the vowel length (cf. *problem* instead of *problém* or *dojit* instead of *dojít* in Example 1, *nemužu* instead of *nemůžu*, *přijit* instead of *přijít* or *povolan* instead of *povolán* in Example 2), confusion of voiced and voiceless consonants (*heský* instead of *hezký* in Example 1), confusion of uppercase and lowercase letters (cf. *PŘijDU, ZiTRA* or *PROSiM* from other text samples), and, rather rarely, punctuation (using a comma instead of full stop at the end of sentences, missing of a comma in subordinating constructions such as *když něco, zavolej* in Example 1 or *na schůzku nemužu přijit, proto ze jsem povolan na Policie ČR* in Example 2). Sporadic problematic issues appeared in these text sets also with higher language phenomena, namely with discourse connectives (cf. a wrong use of a connective *-li* "if" in the sentence *Mužeme-li objednat schůzku na čtvrtek o 11.30 u Vás v ofisu?* or a wrong form of the connective *protože* "because" used as *proto ze* in Example 2).

The borderline class contained also lexical errors, especially using inappropriate words and phrases (cf. the wrong phrase *objednat schuzku* "to book a meeting" instead of *sjednat schůzku* "to arrange a meeting", or stylistically inappropriate *mam bouračku* "I have a smash" used in an official letter instead of *mám nehodu* "I have an accident").

The difference between A1 and borderline sets was thus rather in the frequency of errors. Despite these errors, the main message of the texts was understandable (interpretable) and their authors proved to have a basic communicative competence in Czech.

3.4. Linguistic features

Based on a deep linguistic analysis of all datasets, we established a list of linguistic features according to which it is possible to evaluate new texts automatically, more precisely to sort them into the classes associated with individual grades.

We created such language features that EVALD is capable to track automatically in newly inserted texts and then to compare them to the already known ones (training datasets).

Currently, the EVALD application monitors approximately 200 language features from both lower and higher language levels. Additionally, the application works with the readability of a text, language model and density estimates.

As demonstrated in Section 3.3, the texts from the NIE dataset are different from the other two datasets containing texts by native speakers and non-native speakers of Czech. The low-level NIE texts are written by complete Czech language beginners, which is projected in their (non-)acquisition of various linguistic means and which

should be thus reflected in the feature selection in automated scoring as well. It turned out, for example, that discourse or coreference features are not so much suitable or beneficial for this task, as they practically do not appear in the NIE texts. On the other hand, these texts differed in lower language features, especially in spelling. They contained differentiating features that were not worth observing in previous two datasets (the features concerning e.g. correct writing of letters in Czech, the use of long vs. short vowels etc. has been already adopted by the native speakers or more advanced earners of Czech). Based on the text analysis presented in Section 3.3, we thus designed new spelling features for automated scoring, not used in EVALD before. In the overview below, these features are put in **bold**.

The individual features can be arranged to multiple categories that are listed in the following overview (please note that all absolute numbers are normalized to the length of the text).

Spelling: number of typos, punctuation marks, accented characters and diphthongs, **ratio of uppercase and lowercase letters, capital letters used elsewhere than at the beginning of a word, the number of lowercase letters after the full stop, the number of *ú*, the number of *ú* used elsewhere than at the beginning of a word, occurrence of two (or more) vowels next to each other in a single word (except for diphthongs *au*, *ou*), the occurrence of soft consonants with *y*/*ý*, the occurrence of selected hard consonants with *i*/*í*, number of occurrences of the sequence *pje*, number of occurrences of two long syllables next to each other, number of characters other than letters, numbers, and punctuation** etc.

Vocabulary: richness of vocabulary expressed by several measures, average length of words, percentage of lemmas *být* [to be], *mít* [to have] and the most frequent lemma.

Morphology: percentage of individual cases, parts of speech, degrees of comparison, verb tenses, moods, etc.

Syntax: average sentence length, percentage of sentences without a predicate, number and types of dependent clauses, structural complexity of the dependency tree (number of levels, numbers of branches at various levels), distributions of functors and part-of-speech tags at the first and second positions in the sentences, etc.

Topic-focus articulation: variety of rhematizers (focalizers), number of sentences with a predicate on the first or second position, percentage of (contrastive-) contextually bound and non-bound words (more precisely: nodes in the tectogrammatical tree), percentage of subject-verb-object and object-verb-subject sentences, position of enclitics, percentage of coreference links going from a topic part of one sentence to the focus part of the previous sentence, etc.

Coreference: proportion of 21 different pronoun subtypes, variety of pronouns, percentage of null subjects and several concrete (most commonly used) pronouns,

number of coreference chains (intra-sentential, inter-sentential) and distribution of their lengths, etc.

Discourse: quantity and variety of discourse connectives (intra-sentential, inter-sentential, coordinating, subordinating), percentages of four basic classes of types of discourse relations (temporal, contingency, contrast, expansion) and numbers of most frequent connectives, etc.

Readability: various readability measures combining a number of characters, syllables, polysyllables and sentences (Flesch-Kincaid Grade Level Formula, SMOG index, Coleman–Liau index, etc.)

Language model: prob. estimates of the texts with respect to an n-gram language model trained on the SYN dataset.

Density estimates: prob. estimates of all the other features with respect to SYN dataset.

3.5. Evaluation

The aim of the following experiments is to measure the overall performance of EVALD as well as contribution of individual feature sets.

We have tried multiple supervised machine learning methods[14] to train EVALD models: (1) stochastic gradient descent optimization using various loss types, (2) support vector machines with the radial basis function kernel, and (3) random forests. Apart from classification methods, we also take advantage of regression variants of the aforementioned methods. Before training, we mapped the labels of grades to integers, to which real-valued predictions of the regression models are also discretized in the end. Furthermore, we have also varied the values of hyperparameters specific to each of these methods, e.g. regularization and class balancing hyperparameters. Following the random search strategy, thousands of machine learning configurations have been tested. For the final evaluation, we pick those performing the best on the development portion of the data (see below).

The datasets are too small to be split to separate training, development and test portions. Instead, we perform a cross-validation. A standard k-fold cross-validation, however, leaves no room for development data, necessary for tuning a machine learning method and its hyperparameters. We thus take advantage of the *k*l-fold cross-validation*. It is a nested procedure, where in the outer loop the data are split into k folds and one of them is considered a test set in each iteration. In the inner loop, a data portion comprising the remaining k–1 folds is split into other l folds. The procedure then goes over them and takes one fold as a development set and the rest as a training set. The result of the inner loop is a machine learning configuration tuned on the development set. Back in a given iteration of the outer loop, this configuration is subsequently used to train a model on all k–1 folds and to test it on a corresponding test fold. Predictions on test data are thus collected in the outer loop, possibly

[14] Implemented in the Scikit-learn library (Pedregosa et al., 2011).

	L1		L2		NIE		NIE-2	
	Macro-F	**Acc**	**Macro-F**	**Acc**	**Macro-F**	**Acc**	**Macro-F**	**Acc**
Majority class	12.1	43.3	5.2	18.6	16.8	33.6	33.4	50.3
EVALD ⊖ add. spelling	53.4	63.9	65.6	68.2	52.1	53.3	78.2	78.2
EVALD	56.1	64.6	66.0	68.3	52.0	53.1	78.7	78.7

Table 2. Effect of additional spelling features to performance of EVALD on various datasets. The score in gray indicates that for this dataset the complete EVALD system is significantly better than EVALD without new spelling features.

using a different learning configuration in each of its iterations. In particular, all the following experiments are run in 10*9-fold cross-validation.[15]

Picking a single configuration as an output of the inner loop may introduce some noise for small datasets. In order to make the results of the analysis more reliable, we do the evaluation in the outer loop with an ensemble of 5 best configurations for each fold, instead of using just a single configuration. An essay is thus assigned the grade that earns the majority out of 5 possible votes.

We report performance of the tested systems using two metrics: (1) accuracy, and (2) macro-averaged F-score. Accuracy is a standard measure for classification tasks. However, it becomes less suitable for datasets with skewed distribution of classes, e.g. the L1 dataset. Therefore, we use *macro-averaged F-score* as a primary measure for the following experiments. It calculates an F-score value for every class in the dataset and then averages it over the classes.

Effect of additional spelling features. In the first experiment, we evaluate the system that takes advantage of all the features presented in Section 3.4.

We compare its performance on all labeled datasets with two baselines. The first one exploits the complete set of features except for the newly added spelling features that were designed with respect to the properties of the NIE dataset. This corresponds to the feature set as presented in (Novák et al., 2019). And the second, "majority class" baseline labels each essay with a most frequent grade.

Table 2 shows that EVALD with the new spelling features slightly outperforms the system that does not include them. The only exception is the NIE dataset, which is surprising, since these features were primarily designed to target this dataset. The

[15]Note that in (Rysová et al., 2017; Novák et al., 2017; Novák et al., 2018) we used a standard 10-fold cross-validation, since we did not tune the machine learning configuration. We introduced such tuning in (Novák et al., 2019) and performed it using a 5-fold cross-validation with non-overlapping development and test portions. In comparison to that approach, the currently used 10*9-fold cross-validation is computationally more demanding. But on the other hand, the entire dataset can be utilized as the development and test set. Furthermore, there is no doubt that the currently used nested validation is fair, without any, even an indirect, influence between the portions designated for training, tuning and testing.

	L1		L2		NIE		NIE-2	
	Macro-F	**Acc**	**Macro-F**	**Acc**	**Macro-F**	**Acc**	**Macro-F**	**Acc**
EVALD	56.1	64.6	66.0	68.2	52.0	53.1	78.7	78.7
⊖ spelling	54.4	61.9	65.1	67.3	53.4	53.6	77.9	77.9
⊖ vocabulary	53.1	63.0	65.5	67.7	51.8	52.8	76.7	76.7
⊖ morphology	53.2	62.5	63.9	66.1	50.9	51.3	78.9	78.9
⊖ syntax	57.8	66.5	66.6	69.2	53.1	53.3	77.2	77.2
⊖ readability	55.1	65.2	65.0	67.5	50.7	52.0	77.9	77.9
⊖ connectives	55.4	65.5	66.0	68.2	52.1	53.3	80.2	80.2
⊖ coreference	55.9	65.0	64.9	67.1	53.0	54.4	79.4	79.4
⊖ TFA	54.7	65.0	65.0	67.5	53.2	54.1	79.6	79.7
⊖ lang. model	54.9	65.0	65.1	67.3	53.3	54.4	77.7	77.7
⊖ dens. estim.	54.8	61.9	64.5	66.7	52.7	52.8	78.4	78.4
⊖ unlabeled	55.3	62.3	64.7	66.8	54.2	54.6	76.4	76.5
⊖ unlabeled, coherence	53.6	62.3	67.1	66.8	54.1	54.6	79.7	76.5

*Table 3. Results of the feature ablation analysis using the final EVALD system, including the new spelling features. Whereas in the upper part of the table, one category of features is removed at the time, it is a bigger group of categories in the lower part (*unlabeled*: language model and density estimation features;* coherence*: connective, coreference and TFA features). The scores in gray indicate that the performance of the complete EVALD system and its particular ablation variant is significantly different.*

improvement on NIE-2 reveals, however, that it is likely a result of the mixed nature of the borderline class. All in all, it is necessary to mention that the difference caused by removing the new spelling features from EVALD is statistically significant[16] only on L1.

The highest scores are naturally achieved on the NIE-2 dataset, which consists of solely two classes. Hence, it is important to take the majority class baseline into account. With this respect, the biggest improvement of 60 F-score points is observed on the L2 dataset.

Ablation of feature categories. In the second experiment, we investigate what is the contribution of individual feature categories to the overall quality of EVALD. We contrast the system based on the full feature set with its modifications where one feature category is left out at the time. This is repeated for each feature category. Note that each density estimation feature is derived from a single original feature. In other words, a group of density estimation features derived from a particular category encodes in some way the information captured by the category itself. Therefore, together

[16]Statistical significance was calculated by paired bootstrap resampling (Koehn, 2004) at p-level $p \leq 0.05$.

with each category we decided to remove the corresponding density estimation features, too.

Table 3 shows results of the ablation analysis for all the datasets. Although behavior of the model changes with different datasets, some common properties are evident, especially between L1 and L2. Performance gaps between the complete EVALD and its ablation variants are not large. Indeed, they are not statistically significant for most of the variants and datasets. EVALD thus seems to be robust enough, i.e. removing one category from the complete feature set does not change the results too much. Some of the categories, however, seem to be more important than the others, because we observe lowest numbers after their removal, e.g. vocabulary and morphology features. On the other hand, syntactic features appear to harm the performance on the L1 and L2 datasets, as EVALD performs even better without them.

On both NIE datasets, better scores are achieved after exclusion of discourse-related features. Due to a short average length of its documents and low Czech competence of their authors, there is most likely no room for such features to activate. In addition, recall that for the NIE dataset, we predict an overall grade, not just a grade for surface coherence.

As no obvious conclusion can be drawn when leaving out a single category, we proceeded in the ablation analysis by removing two important groups of categories. First, we left out the features that are based on the unlabeled data, i.e. language model and density estimation features. Second, apart from these, we also excluded all coherence-related features, i.e. topic-focus articulation, coreference, and discourse features. Together with the full EVALD system, these two configurations represent the three major steps in development of EVALD.

The lower part of Table 3 shows the results of these ablation experiments. Removal of SYN-based features causes a drop in accuracy, but it does not change any further after subsequent removal of coherence-related features. More important macro-averaged F-score statistics paints a different picture, though. Whereas inclusion of coherence-related and SYN-based features gradually improves the prediction quality for L1, it rather harms performance of EVALD for the other three datasets. This observation accords with the findings in (Novák et al., 2018, 2019), even if it is more emphasized most likely due to tuning of learning configuration and a more reliable cross-validation technique. Novák et al. (2018) has concluded that the effect of coherence-related features is more pronounced for essays written by native speakers of Czech, since their language competence is high enough to disclose coherence-related nuances. Similarly, Novák et al. (2019) has shown that features based on the SYN corpus are also more powerful on L1 essays. Such behavior has been justified by higher similarity of texts from the SYN corpus and L1 essays, as both were authored by native speakers of Czech.

Figure 3 illustrates the previous ablation analysis in a greater detail – on F-scores related to individual grades. Prediction naturally works best for boundary grades (i.e. 1 and 5 for L1; A1 and C2 for L2; A1 and 0 for NIE). Other grades are more difficult to

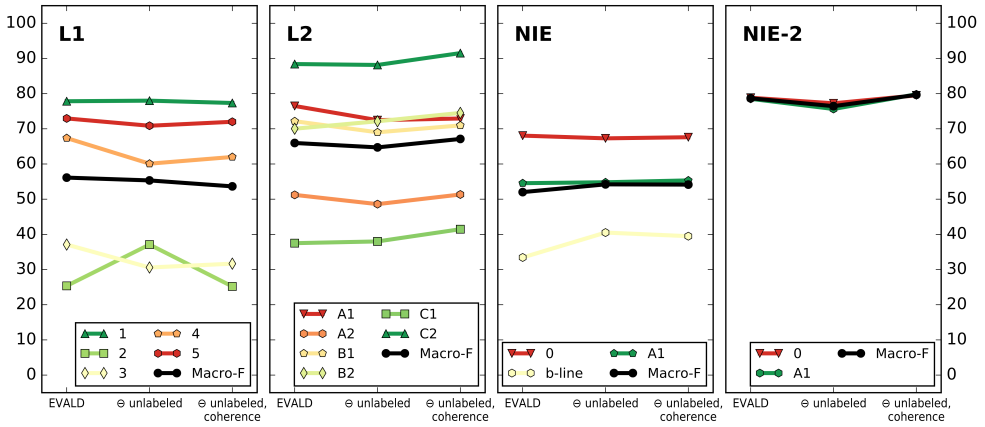


Figure 3. Changes in F-scores for individual grades achieved by EVALD when removing important feature category groups.

distinguish. Interestingly, exclusion of SYN-based features on the L1 dataset results in performance drops for rather negative grades but gains for positive grades. The most plausible explanation is that coherence-related features, which are more effective in distinguishing high-quality texts, play a stronger role in such a model. After their subsequent removal, performance for positive grades deteriorates again.

4. Conclusion

In the paper, we introduced EVALD (Evaluator of Discourse), an application for automated essay scoring in Czech. We described the process of creation of the tool and we presented its individual versions aimed at slightly different purposes.

In the linguistic part of the paper, we presented the NIE dataset – texts from the A1–0 classes gained from the National Institute for Education – and we carried out a detailed analysis of a sample of them. It turned out that these lower-level texts written by non-native speakers are linguistically rather simple. The texts from the 0 class contain many mistakes e.g. in spelling (often connected with phonological issues), morphology or lexicology. Their authors often have problems already with the Czech writing system (e.g. they mix uppercase and lowercase letters within a single word). The overall comprehensibility of the A1 and borderline texts was, on the other hand, rather good (despite the errors present). The problematic issues included especially the vowel length, confusion of voiced and voiceless consonants, and use of inappropriate words and phrases. Sporadic errors concerned higher language phenomena,

namely a wrong use or wrong form of discourse connectives. The A1 and borderline texts thus differed especially in the frequency of errors.

Based on the analysis, we designed new spelling features that tried to highlight the biggest issues, so that EVALD can distinguish between the classes more easily. The experiments, however, showed that these features are surprisingly more helpful on L1 and L2, the other two datasets that EVALD operates with.

In the experiments, we also explored overall properties of the EVALD system. We observed no strong characteristic that would be common across all the datasets. Nevertheless, the present analysis confirmed (and even highlighted) the findings from previous publications on automated essay scoring in Czech: coherence-related features and the features based on great amount of unlabeled texts play an essential role in evaluation of L1 essays, i.e. the essays written by native speakers of Czech. On texts written by foreigners, these features are less important.

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Address for correspondence:
Kateřina Rysová
rysova@ufal.mff.cuni.cz
Institute of Formal and Applied Linguistics
Faculty of Mathematics and Physics,
Charles University
Malostranské náměstí 25
118 00 Praha 1, Czech Republic

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Replacing Linguists with Dummies: A Serious Need for Trivial Baselines in Multi-Task Neural Machine Translation

Daniel Kondratyuk, Ronald Cardenas, Ondřej Bojar

Charles University, Faculty of Mathematics and Physics, Institute of Formal and Applied Linguistics

Abstract

Recent developments in machine translation experiment with the idea that a model can improve the translation quality by performing multiple tasks, e.g., translating from source to target and also labeling each source word with syntactic information. The intuition is that the network would generalize knowledge over the multiple tasks, improving the translation performance, especially in low resource conditions. We devised an experiment that casts doubt on this intuition. We perform similar experiments in both multi-decoder and interleaving setups that label each target word either with a syntactic tag or a completely random tag. Surprisingly, we show that the model performs nearly as well on uncorrelated random tags as on true syntactic tags. We hint some possible explanations of this behavior.

The main message from our article is that experimental results with deep neural networks should always be complemented with trivial baselines to document that the observed gain is not due to some unrelated properties of the system or training effects. True confidence in where the gains come from will probably remain problematic anyway.

1. Introduction

Neural models (NMT) have become the default choice for Machine Translation for language pairs with enough parallel data. Even when linguistic phenomena are not explicitly modeled, sequence-to-sequence models appear to implicitly learn some notions of syntax, word order and morphology (Bentivogli et al., 2016; Shi et al., 2016). Recent work explores strategies of incorporating linguistic structure by accounting for it in the architecture itself or by jointly learning auxiliary tasks.

 Corresponding author: bojar@ufal.mff.cuni.cz
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Obama	receives	Netanyahu	in	the	capital	of	USA
NP	((S[dcl] \NP)/PP)/NP	NP	PP/NP	NP\N	N	(NP\NP) / NP	NP

Figure 1. Example of CCG supertags for English, taken from (Nadejde et al., 2017)

On one hand, previous work proposes to replace the input source token sequence by its parse tree representation, namely RNN Grammar (Dyer et al., 2016), having the source language parser be pre-trained beforehand (Bradbury and Socher, 2017; Eriguchi et al., 2016) or jointly trained with the MT task (Eriguchi et al., 2017). Moreover, there have been some efforts to include syntactic structure priors for better machine translation. Bradbury and Socher (2017) include reinforcement learning to induce unsupervised tree structures on both the source and target sentences. Eriguchi et al. (2016) replace the encoder of an attentional NMT architecture with variants of the TreeLSTM (Tai et al., 2015) in order to account for phrase structure. The results, however, are mixed and mostly evaluated on small parallel corpora.

On the other hand, another line of research explores the contribution of learning simpler downstream tasks in addition to NMT on the source or target side. In such a multi-task scenario,[1] Niehues and Cho (2017) explore the behavior of multitasking on the target side with increasing degrees of sharing of task specific modules (e.g. attention mechanisms, decoders). With a similar goal, Nadejde et al. (2017) proposed a way of tightly coupling syntactic information with token words. They present an *interleaved* setup in which each English token (or BPE segmentation) is preceded by its CCG supertag (Combinatory Categorial Grammar; Steedman, 2000). They report encouraging results when using English on the source or target side.

In this paper, we explore the behavior of sequence-to-sequence architectures with recurrent neural networks (Bahdanau et al., 2014) and Transformer (Vaswani et al., 2017) as underlying blocks in interleaved and multitasking setups. The task to be interleaved or jointly learned is tagging of CCG supertags in the target side for the German-English language pair. We compare scenarios in which the gold tag sequences are actual CCG tags, random tags, and a single repeated tag. In this way, we seek to find out if the model is indeed learning syntactic phenomena that contribute to the translation task. However, we report that, counter-intuitively, jointly learning random tags yields comparable, if not better, results in all the setups explored.

2. Multi-Task Neural Machine Translation

We consider the multi-task approach of jointly learning to translate and tag the target with CCG supertags. Combinatory Categorial Grammar, introduced by Steedman (2000), is a lexicalised formalism that encodes sentence-level morpho-syntactic

[1]Not to be mistaken with *multi-lingual* MT which tackles the problem of translating into or from several languages at the same time.

information in every tag, referred to as *supertag*. Figure 1 shows how the formalism captures information about surrounding syntactic subtree's nodes in the tag itself.

We explore two architecture configurations and two task coupling strategies. The first model architecture we consider is the standard Seq2Seq model with attention. The second one is the Transformer model. Following the setup proposed by Nadejde et al. (2017), only word tokens are split using *byte-pair-encoding* (BPE) (Sennrich et al., 2016) , i.e. CCG tags remain unsplit.

2.1. Muti-Decoder Model

For the first set of experiments, we adopt the multi-decoder model seen in Niehues and Cho (2017) and Nadejde et al. (2017), where the encoder is shared between the two tasks. We then split the network into two decoders, each with their own attention layer on the encoded words. The first decoder predicts the target translation, sharing the word embeddings of the encoder. The second decoder predicts the target language tags using a separate tag vocabulary. Since only word tokens are split using BPE codes and not CCG tags, both decoders may predict sequences of different length. The total loss is then the sum of the two losses from both decoders.

2.2. Interleaved Model

In the second set of experiments, we adopt the interleaved setup proposed by Nadejde et al. (2017). We start with a standard encoder-decoder architecture, and only modify the dataset. We insert a target language tag preceding each sequence of BPE tokens corresponding to a single word token. We then combine the two vocabularies. This requires the network to predict each tag as an additional word to be included in the translation. We also ensure that the tag vocabulary does not overlap with the target language vocabulary in the embedding table.

3. Experiments

We use the Neural Monkey framework (Helcl and Libovický, 2017) for all our experiments. We extend the framework to meet our needs regarding the interleaved setups (see Section 3.4). Translation performance is measured in terms of BLEU (Papineni et al., 2002) as calculated by *multi-bleu.perl*.

3.1. Dataset

We use the English-German parallel corpus of the WMT 2016, tokenized with Moses tokenizer (Koehn et al., 2007). For development, we use the 2013 test set, *news-test2013*. For testing, we use the official 2016 test set, *news-test2016*.

The CCG tagging was done using EasySRL (Lewis et al., 2015) and its pre-trained models for English, setting a sentence length threshold of 74 tokens. Sentences that

could not be parsed were discarded.[2] As sanity check, we test the CCG supertag tagging performance of the EasySRL parser on section 23 of the CCGbank (Hockenmaier and Steedman, 2007). We obtain an accuracy of 70.83% and an F1 score of 73.28%.

The CCG tag vocabulary was limited to 500 tags, the rest being tagged as UNK. The UNK token appears 99 times in the training data (out of 100M total tag tokens). The final number of parallel sentences was 4,473,920 in the training set, 2,986 in the development set, and 2,994 in the test set.

3.2. Tag Schemes

Additionally, we experiment with three types of tag schemes for the target English dataset. The first tag scheme uses the CCG supertags of each target word for prediction.

The second tag scheme uses random tags, keeping the vocabulary size the same. We generate random tag ids in the range [0-499] by sampling from the uniform distribution without replacement within each sentence. To see the effect of randomness on our models, we define a third tag scheme which effectively maps all tags in the dataset to a single token, i.e., tag id 0. We fix the vocabulary size to 500 (and not size 1) so that the results are comparable.

3.3. Baselines and Setups

We consider the single-task NMT architectures as baselines: Seq2Seq and Transformer. We set up our experiments by varying the following three aspects of the pipeline:

- **Architecture**: Seq2Seq, Transformer
- **Multi-Task Configuration**: multi-decoder, interleaved.
- **Tag Scheme**: CCG supertags, random tags, same tags.

Hence, we explore 14 combinations (2 architecture baselines + 12 multi-task combinations) for DE-EN translation.

3.4. Implementation Details

With regards to token representation, BPE encoding (Sennrich et al., 2016) was learned from a shared vocabulary with a final subword vocabulary size of 32k and an embedding size of 512 in all architectures.

For the Seq2Seq architecture, LSTM cells of size 512 were used in the encoder and decoder, both single-layer, with Bahdanau et al. (2014) attention. For *multi-decoder* setups, we use cells of size 128 for the tag decoder. We train on batches of 32 sentences with learning rate of 1e-5 and optimize using Adam (Kingma and Ba, 2017). We ap-

[2] A closer inspection revealed that these sentences were mainly programming source code.

ply a dropout rate of 0.2 for the outputs of the embeddings, encoder, attention, and decoder.

For the Transformer architecture, we used 6 dense layers each of width 512 and multi-headed dot-product attention with 8 heads. Values for batch size, learning rate, dropout, and choice of optimizer remain the same as in Seq2Seq training.

4. Results

This section details the results of training and evaluating DE-EN translation on the 14 models explained in the previous section. In line with the recommendations by Popel and Bojar (2018), we report not only the final scores but also the full learning curves, i.e. the BLEU scores of all models on the validation set over the duration of their training. Additionally, we provide the tag accuracies as well as the final BLEU scores on the test set.

Figure 2 displays the performance evolution of all setups during training over several million steps (sentence pairs).

The baseline Seq2Seq model increases in BLEU score the most early into training. In later stages, training the model in a multi-task configuration with either CCG or random tags results in a small boost in BLEU score. Both tag schemes closely match in translation performance. However, using same tags is extremely detrimental to training. The same tag scheme underfits the training data, resulting in a reduction of BLEU score of 20 points or more.

In the Seq2Seq experiments, there is only a slight difference between CCG and random tag schemes. In the multi-decoder setup, the random tag model gains an early lead, but nearly loses it once training is complete. In interleaved, random tags keep their position. Overall, random tags perform within ~0.5 from CCG tags and beat the baseline by ~2 BLEU points.

In the Transformer experiments, the difference between the CCG and random tag schemes are a little more apparent, but opposite. As before, the random tag scheme performs slightly better throughout the training but then falls below the CCG tag model in the last epoch. This results in CCG tags having a ~1 BLEU point lead over random tags, and ~3 BLEU point lead over the baseline. It is also worth noting that, as expected, the Transformer models slightly outperform the Seq2Seq models.

Table 1 confirms the results on the test set, with CCG tags leading to the highest score except Seq2Seq Interleaved, very closely followed by random tags. The baseline falls short two or more BLEU points except Transformer multi-decoder where the difference is smaller, 1.18 BLEU from random tags.

Finally, Table 2 presents CCG tagging accuracies for all architectures and multi-task setups. The accuracies were obtained by processing the text output of multi-task systems with EasySRL. These automatic tags then served as the golden truth against which the CCG tags proposed by the multi-task model were evaluated. We thus did not face the problem of mismatching sequence length.

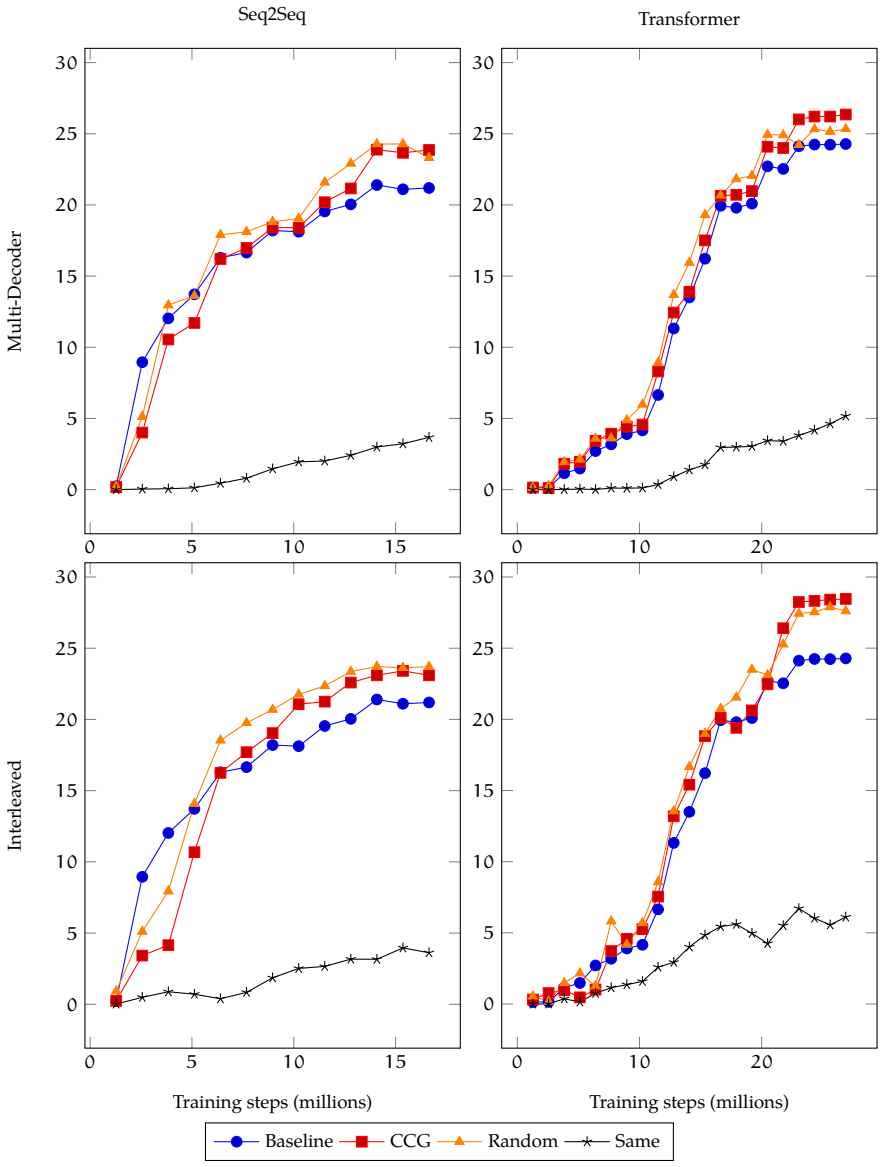

Figure 2. Performance over the DE-EN validation set according to BLEU score. Setups are organized by architecture (Seq2Seq, Transformer) and multi-task configuration (multi-decoder, interleaved), each one showing results for all tag schemes (CCG, random, and same tags). Baseline plots are repeated in both multi-task configurations for ease of comparison.

Setup	Base	CCG	Random	Same
Seq2Seq Multi-decoder	20.96	**23.66**	23.08	3.50
Transformer Multi-decoder	24.09	**26.23**	25.27	5.00
Seq2Seq Interleaved	20.96	22.96	**23.54**	3.44
Transformer Interleaved	24.09	**28.32**	27.47	5.98

Table 1. Final BLEU results on the test set. The baseline was tested for both Seq2Seq and Transformer architectures but does not include any tagging component, so it is repeated across multi-decoder and interleaved setups.

Setup	CCG Accuracy
Seq2Seq Multi-decoder	0.42
Transformer Multi-decoder	0.44
Seq2Seq Interleaved	**0.48**
Transformer Interleaved	0.39

Table 2. Final tag accuracy for all architectures and multi-task configurations, under the CCG tag scheme.

Under the random tags scheme, all setups scored 0%, while under the same tags scheme all setups got a perfect score of 100%. The reason behind this behavior can be inferred by inspecting at the accuracy over training. In all cases, it was observed that the same-tag setups quickly learn to tag all words to the same category. The random-tag models cannot learn to tag correctly, resulting in an expected accuracy of $\frac{1}{500}$ or 0.002. The CCG tag models perform better than random and learn some important relationships, but do not result in a high accuracy due to underfitting. Specifically, they reach accuracies around 40–50% when evaluated against the automatic tagging of our test set.

5. Discussion

The results indicate something surprising: predicting uncorrelated random tags in multi-task neural machine translation may perform comparably to predicting correlated, linguistically-informed, CCG tags. In other words, it is possible that the network is learning some syntactic information (as documented by reasonable performance in tagging accuracy, Table 2) but it is not utilizing it in any useful way in the main translation task. Instead, gains in translation task are obtained thanks to some changes in numerical properties of the training. This result holds even across several different neural architectures. This goes against the intuition that the network would be able to learn and benefit from a representation that generalizes over both tasks.

Maybe some joint representation is indeed learned in the multi-task setting, or maybe the two tasks live independently of each other. It is still unclear how much the CCG tags provide useful generalizations and how much they are acting as some simple regularizer. The interleaved setups probably also benefit from the increased effective depth of the decoder: while emitting the tag, the decoder can work on refining its internal state. This is particularly likely with the random tags where the network can quickly notice its zero chance of finding any pattern and reuse the additional capacity for better learning of the main task.

This primary result may also explain why multi-task neural machine translation is difficult. Other works have shown that neural networks can learn to generalize over multiple tasks. However, it is crucial that the tasks and representations of those tasks are similar enough so that the networks can infer those relationships. Otherwise, the network may not be able to reconcile the two representations, which the above experiments may also suggest.

The main message we would like to express is that multi-task experiments should always consider baseline runs with dummies, to validate that the improvements are from the secondary task and not from simple regularization or other unintended effects, not related to the added knowledge.

6. Conclusion

Our experiments have shown that a neural machine translation model in a multi-task tagging configuration is able to perform nearly as well on uncorrelated random tags as on true CCG tags. This casts doubt on the intuition that improvements observed in previous works in multi-task neural models with syntactic information are in all cases due to the model's improved generalization over syntax.

As a result, we propose future multi-task neural machine translation experiments should include trivial baseline experiments where the secondary tasks are replaced with random data to ensure that the knowledge of the secondary task is indeed crucial for the observed improvements. More experimentation is necessary to determine in what cases multi-task neural models can generalize and what cases these models interpret secondary tasks as random noise.

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Address for correspondence:
Ondřej Bojar
`bojar@ufal.mff.cuni.cz`
Institute of Formal and Applied Linguistics
Malostranské náměstí 25 , Prague, 180 00, Czech Republic

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